

Prognostic Factors on Overall Survival in Lymph Node Negative Gastric Cancer Patients Who Underwent **Curative Resection**

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Purpose: To assess independent prognostic factors for lymph node-negative metastatic gastric cancer patients following curative resection is valuable for more effective follow-up strategies.

Materials and Methods: Among 1,874 gastric cancer patients who received curative resection, 967 patients were lymph node-negative. Independent prognostic factors for overall survival in lymph node-negative gastric cancer patients grouped by tumor invasion depth (early gastric cancer versus advanced gastric cancer) were explored with univariate and multivariate analyses.

Results: There was a significant difference in the distribution of recurrence pattern between lymph node-negative and lymph nodepositive group. In the lymph node-negative group, the recurrence pattern differed by the depth of tumor invasion. In univariate analysis for overall survival of the early gastric cancer group, age, macroscopic appearance, histologic type, venous invasion, lymphatic invasion, and carcinoembryonic antigen level were significant prognostic factors. Multivariate analysis for these factors showed that venous invasion (hazard ratio, 6.695), age (≥59, hazard ratio, 2.882), and carcinoembryonic antigen level (≥5 ng/dl, hazard ratio, 3.938) were significant prognostic factors. Multivariate analysis of advanced gastric cancer group showed that depth of tumor invasion (T2 versus T3, hazard ratio, 2.809), and age (hazard ratio, 2.319) were prognostic factors on overall survival.

Conclusions: Based on our results, independent prognostic factors such as venous permeation, carcinoembryonic antigen level, and age, depth of tumor invasion on overall survival were different between early gastric cancer and advanced gastric cancer group in lymph node-negative gastric cancer patients. Therefore, we are confident that our results will contribute to planning follow-up strategies.

Key Words: Gastric cancer; Lymph node negative; Prognostic factor; Overall survival

Introduction

The depth of tumor invasion and lymph node metastasis are the most important prognosis factors in early or advanced gastric cancer. These factors are already known to be closely related to cancer recurrence or prognosis of the patients after surgery. (1,2) As the diagnostic methods for gastric cancer have developed and gastric cancers are increasingly screened, the ratio of early gastric cancer and 5-year survival rate tend to increase steadily. Since the 5-year survival rate in early gastric cancer exceeds 90%, improving and maintaining the quality of life has gained attention with regards to the treatment of gastric cancer.(2-4)

On the other hand, many patients who underwent curative gastrectomy experience recurrence and die. For such reasons, many researchers make efforts to investigate the prognostic factors related to recurrence and survival.(2-6) Especially, since many patients experience recurrence and die even though the prognosis is favorable in cases where there is no lymph nodes metastasis in gastric cancer, additional research into prognostic factors is required.

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The objective of this study was to investigate prognosis factors relevant to clinical pathologic characteristics, metastasis risks, and long-term survival rates of patients who had no lymph nodes metastasis after extensive lymph nodes dissection of more than D2. Therefore, this study would help establishing specialized tailored strategies with regards to follow-up observations and prognosis predictions of the patients without lymph nodes metastasis after surgery.

Materials and Methods

The study is a retrospective, record based. Total 1,874 patients who were diagnosed with gastric cancer and had curative gastrectomy including lymph node dissection of more than D2 at the Department of General Surgery in Hanyang University Medical Center from June 1992 to December 2010. Among 1,874 patients, 967 patients who didn't have lymph nodes metastasis based upon the result of histopathologic examination were assigned to the experimental group. To investigate the differences in clinical pathologic characteristics and long-term survival rates depending upon whether lymph nodes are metastasized, 907 patients who had the lymph nodes metastasis were selected as a control group.

Both groups were divided into early gastric cancer and advanced gastric cancer depending upon the depth of tumor invasion, followed by analysis of the prognostic factors in patients with gastric cancer who had negative lymph nodes metastasis versus positive lymph nodes metastasis.

The prognostic factors affecting 5-year survival rates were used as analytic variables, including demographic traits of patients (age and sex) and tumor factors (the stomach walls invasion degree, lymph nodes metastasis degree, tumor size, tumor position, blood vessels, lymphatic vessels, whether or not invading around nerves, histological classification, visual classification, and tumor marker value before surgery (carcinoembryonic antigen, CA19-9).

Whether or not the lymph nodes were metastasized was observed after dividing into half from the center of lymph nodes section and staining. Conventional H&E staining was used to stain the lymph nodes, but additional immunohistochemistry staining was carried out in case of difficulties with the observation. After the surgery, chemotherapy on entire body was performed for clinical stage III and IV and ruled not to be performed for stage I and II. Complex chemotherapy was carried out with the main medications including fluorourasil substance as oral anti-cancer drugs, and flu-

orourasil, cisplatin, and oxaliplatin given as intravenous injections.

After the surgery, follow-up examinations of pathologic stage I and II were carried out once every 6 months for 5 years and then every year. Follow-up examinations of pathologic stage III and IV were carried out once every 3 months for 3 years after the surgery, once every 6 months from 3 years to 5 years, and then annually after 5 years. For the follow-up examination, gastrofiberscopy and chest X-ray were performed once in a year and abdominal computed tomography and abdominal ultrasound were performed every 6 months alternatively. Other than that, serum tumor markers, blood, and biochemical examinations were carried out every 3 to 6 months. Recently, in advanced gastric cancer patients, positron emission tomography-computed tomography scanning was performed additionally. The follow-up duration was from 1 to 218 months and the median was 60 months based on July 31st in 2011. The follow-up rate was 98.3%.

Statistical analysis

Statistical analysis was performed using SPSS 18.0 (IBM Co., Armonk, NY, USA) and Chi-square test was used to compare several clinical pathologic characteristics between early gastric cancer and advanced gastric cancer. For survival rates, Kaplan-Meier method was used to obtain survival curves and the significance was verified utilizing Log-Rank test. Multi-variable analysis of the survival rates was performed using Cox regression. Null hypotheses of no difference were rejected if P-values were less than 0.05, or, equivalently, if the 95% confidence intervals of risk point estimates excluded 1.

Results

Clinical pathologic differences depending upon whether lymph nodes were metastasized

In the comparison analysis depending upon whether lymph nodes were metastasized, distributions of sex and elderly patients (P=0,935) did not show differences. However, statistically significant differences were seen in extent of gastrectomy, depth of invasion, chemotherapy, the presence of tumor invasion in lymphatic vessels/blood vessels/around nerves, large tumors, tumor location, degree of differentiation, Borrmann type, preoperative serum CEA and CA19–9 level (Table 1).

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Table 1. Comparison of clinicopathologic characteristics between lymph node negative and positive gastric cancer patients

Variables		Lymph node negative (n=967)	Lymph node positive (n=907)	P-value
Sex	Male	643	591	0.543
	Female	324	316	
Age (yr)	<60	553	517	0.935
	≥60	414	390	
Extent of	Subtotal	837	605	< 0.001
gastrectomy	Total	130	302	
T stage	T1	728	113	< 0.001
(7th UICC)	T2	92	108	
	T3	85	186	
	T4	62	500	
Chemotherapy	None	710	71	< 0.001
	Oral	145	195	
	Intravenous	112	641	
Lymphatic	Negative	768	77	< 0.001
invasion	Positive	199	830	
Venous invasion	Negative	946	743	< 0.001
	Positive	21	164	
Perineural	Negative	901	609	< 0.001
invasion	Positive	66	298	
Tumor size	<5 cm	711	246	< 0.001
	≥5 cm	256	661	
Tumor location	Lower third	465	461	< 0.001
	Middle third	437	315	
	Lower third	65	107	
	Whole	0	24	
Histology	Differentiated	530	301	< 0.001
0,	Undifferentiated	437	606	
Macroscopic	Borrmann 1	11	20	< 0.001
appearance	Borrmann 2	70	121	
	Borrmann 3	156	570	
	Borrmann 4	2	86	
	EGC	728	110	
CEA level	<5	899	760	< 0.001
(ng/dl)	≥5	68	147	
CA19-9 level	<39	954	848	< 0.001
(unit/ml)	≥39	13	56	
5-YSR (%)		89.5	55.2	< 0.001

UICC = Union for International Cancer Control; EGC = early gastric cancer; CEA = carcinoembryonic antigen; 5-YSR = 5 year survival rate.

Table 2. Univariate survival analysis in lymph node negative early gastric cancer patients (n=728)

Variables		Number	5-YSR	P-value
Gender	er Male		96.3	0.324
	Female	486	93.2	
Age (yr)	<60	422	96.5	< 0.001
	≥60	306	83.8	
T stage	T1a	443	94.4	0.297
(7th UICC)	T1b	285	94.1	
Tumor location	Lower third	358	93.6	0.245
	Middle third	336	94.8	
	Upper third	34	96.2	
	Whole	0		
Tumor size	<5 cm	495	94.0	0.400
	≥5 cm	233	94.8	
Histology	Differentiated	424	92.7	0.020
	Undifferentiated	304	96.5	
Lymphatic	Negative	639	94.7	0.047
invasion	Positive	89	91.3	
Venous invasion	Negative	717	94.5	0.007
	Positive	11	80.0	
CEA level (ng/dl)	<5	688	95.0	0.002
	≥5	40	78.3	
CA19-9 level	<39	726	94.3	0.920
(unit/ml)	≥39	2	100.0	

5-YSR = 5 year survival rate; UICC = Union for International Cancer Control; CEA = carcinoembryonic antigen.

2. Univariate survival rate analysis of early gastric cancer patients who had negative lymph nodes metastasis

In the prognosis factor analysis of the 5 year survival rate, there were significant differences in age (\geq 60, P<0.001), histology (undifferentiated, P=0.020), state of lymphatic invasion (lymphatic invasion, P=0.047), state of venous invasion (venous invasion, P=0.007), and CEA level (\geq 5 ng/dl, P=0.002) (Table 2).

Univariate survival rate analysis of advanced gastric cancer patients who had negative lymph nodes metastasis

In advanced gastric cancer without lymph nodes metastasis, statistically significant differences were seen in age (\geq 60, P<0.001), depth of tumor invasion (7th Union for International Cancer Control [UICC] T classification or Serosa penetration negative vs.

Table 3. Univariate survival analysis in lymph node negative advanced gastric cancer patients (n=239)

Variables		Number	5-YSR	P-value
Gender	Male	83	86.4	0.278
	Female	156	86.7	
Age (yr)	<60	131	89.2	< 0.001
	≥60	108	76.5	
T stage	T2 AGC	92	93.3	< 0.001
(7th UICC)	T3 AGC	86	89.9	
	T4a AGC	57	73.1	
	T4b AGC	4	75.0	
Tumor invasion	Negative	178	92.6	< 0.001
of serosa	Positive	61	71.9	
Tumor location	Lower third	109	84.7	0.886
	Middle third	98	89.1	
	Upper third	32	85.2	
	Whole	0		
Tumor size	<5 cm	114	93.1	0.001
	≥5 cm	125	80.9	
Histology	Differentiated	106	87.1	0.569
	Undifferentiated	133	86.2	
Borrmann type	Type 1	12	100.0	< 0.001
	Type 2	70	96.7	
	Type 3	155	81.9	
	Type 4	2	0.0	
Lymphatic	Negative	129	85.1	0.953
invasion	Positive	110	88.5	
Venous invasion	Negative	228	85.9	0.270
	Positive	11	100.0	
CEA level (ng/dl)	<5	214	84.6	0.375
	≥5	25	75.0	
CA19-9 level	<39	236	236 83.5	
(unit/ml)	≥39	3	100.0	
Chemotherapy	Intravenous	82	82.8	0.104
	Oral	116	87.2	
	None	41	75.4	
Combined	None	235	83.9	0.051
resection	Performed	4	75.0	

5-YSR = 5 year survival rate; UICC = Union for International Cancer Control; AGC = Advanced Gastric Cancer; CEA = carcinoembryonic antigen.

positive, P<0.001), a tumor size (\geq 5 cm, P=0.001), and Bormann types (P<0.001) (Table 3).

4. Multi-variate survival rate analyses according to the nodal status in early and advanced gastric cancer patients

In the multi-variate analyses of prognosis factors regarding long-term survival, early gastric cancer without the lymph nodes metastasis differed significantly by age, histology, venous invasion, and CEA level. The advanced gastric cancer patients without lymph node metastasis differed significantly by age and serosa invasion (Table 4).

Recurrence patterns in gastric cancer patients who underwent curative resection

In the analysis of recurrence pattern depending upon whether or not lymph nodes were metastasized, hematogenous metastasis (36%) occurred the most in the negative lymph nodes metastasis group while peritoneal metastasis (42%) occurred the most in the positive lymph nodes metastasis group, with statistical significance. A recurrence pattern depending upon early gastric cancer and advanced gastric cancer was analyzed in the negative lymph nodes metastasis group and resulted in statistically significant difference between them (Table 5).

Discussion

This study was carried out on 967 patients who had negative lymph nodes metastasis. The patients with early gastric cancer and advanced gastric cancer were 728 subjects and 239 subjects out of 967 patients, respectively. Whether or not having lymph nodes metastasis after curative gastrectomy of gastric cancer has been well know as a important prognostic factor related to the survival rate. (7.8) The results of the current study showed significant differences on the clinico-pathological analysis and long-term survival depending upon lymph nodes metastasis. That is because frequencies of lymph nodes metastasis are closely related to the depth of tumor invasion and biological behavior of the negative lymph node gastric cancer is relatively less aggressive than that of positive lymph node gastric cancer. Moreover, since recurrence pattern differs by lymph nodes metastasis (Table 5), more specialized strategies would expect to be prepared for follow-up examinations after the surgery if prognostic factors and recurrence characteristics are known.

Some researchers have shown that elderly patients with gastric cancer have an unfavorable prognosis. Especially in gastric cancer without lymph nodes metastasis, age of patients is an important prognostic factor as well as the depth of tumor invasion.(9–11) The current study also confirmed that being elderly was unfavorable for

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Table 4. Multivariate survival analyses according to the nodal status in early and advanced gastric cancer patients

	77 • 11	F (D)	O.D.	D 1	O.D.	95.0% CI	
Variables		Exp (B)	SE	P-value	OR	Lower	Upper
Node negative EGC	Age						
	≥60 years/<60 years	1.186	0.243	< 0.001	3.275	2.033	5.276
	Histology						
	DIF/UD	0.790	0.269	0.003	2.203	1.301	3.729
	Venous invasion						
	Positive/Negative	1.932	0.541	< 0.001	6.905	2.390	19.944
	CEA level						
	≥5 ng/dl/<5 ng/dl	1.335	0.323	< 0.001	3.798	2.016	7.155
Node negative AGC	Age						
	≥60 years/<60 years	1.208	0.272	< 0.001	3.348	1.966	5.701
	Serosa invasion						
	Positive/Negative	0.860	0.277	0.002	2.363	1.374	4.063

Exp (B) = exponentiation of the B; SE = standard error; OR = odds ratio; CI = confidence interval; EGC = early gastric cancer; DIF = differentiated adenocarcinoma; UD = undifferentiated adenocarcinoma; CEA = carcinoembryonic antigen; AGC = advanced gastric cancer.

Table 5. Recurrence patterns in gastric cancer patients who underwent curative resection

Variables	No	PS	HS	LR	Mixed	P-value
All patients	367	150 (40.9)	87 (23.7)	79 (21.5)	51 (13.9)	0.033
Lymph node negative	44	13 (29.5)	16 (36.4)	9 (20.5)	6 (13.6)	
Lymph node positive	323	137 (42.4)	71 (22.0)	70 (21.7)	45 (13.9)	
Node negative gastric cancer	44					< 0.001
Early gastric cancer	17	0 (0.0)	11 (64.8)	3 (17.6)	3 (17.6)	
Advanced gastric cancer	27	13 (48.2)	5 (18.5)	6 (22.2)	3 (11.1)	

Values are presented as number or number (%). No = number; PS = peritoneal seeding; HS = hematogenous spread; LR = local recurrence.

prognosis in all patient groups. However, since competing causes of death such as heart diseases and lung diseases were not excluded in this study, additional study would be required even though age was a statistically significant unfavorable prognosis factor.

This study was performed on patients with early gastric cancer without the lymph nodes metastasis and the venous invasion was an important prognosis factor. Saito et al.(12) and Hyung et al.(13) reported that the depth of tumor invasion and lymphovascular invasion are poor prognostic factors for overall survival in advanced gastric cancer patients. Gabbert et al.(14) suggested that invasions of gastric cancer cells in blood vessels, and lymphatics are high risk factors for recurrence and the degree of tumor invasion was higher in positive lymph node gastric cancer. That was also related to the degree of tumor invasion so that venous and lymphatic invasion rates were 4.0% and 11.2% in T1 (4th UICC) and 16.4% and 46.6%

in T2 (4th UICC).

Saito et al.(12) reported that when the differentiation was not good, prognosis was not favorable in lymph node negative advanced gastric cancer patients. Even though lymph node negative advanced gastric cancer patients did not differ significantly for overall survival in the present study, we could confirm that undifferentiated gastric cancer is a significant prognostic factor for overall survival in lymph node negative early gastric cancer patients.

In gastric cancer patients, serum CEA and CA19–9 level etc are used for representative tumor marker examination, but they are not considered tumor markers regarding specific gastric cancer due to low sensitivity. Whereas Reiter et al.(15) reported that increase of serum CEA, and CA19–8 level before surgery was a prognostic factor in gastric cancer patients who underwent curative resection, Ucar et al.(16) mentioned that serum CEA and CA19–9 levels

were not independent prognostic factors and only CA 72-4 level was an independent prognosis factor. Kwon et al.(17) emphasized that peritoneal irrigation solution tumor markers (CEA, CA19-9) reinforced significance as prognosis factors. Besides, Kim et al.(18) reported utilization of tumor markers as a purpose of recurrence diagnosis in gastric cancer patients who had curative gastrectomy. In the current study, we confirmed that serum CEA level was an independent prognosis factor in early gastric cancer patients with negative lymph nodes. However, it was not significant in early gastric cancer patients with metastatic lymph nodes and advanced gastric cancer patients.

In the present study, invasion of serosa by tumor cells was an important prognostic factor in advanced gastric cancer patients with negative lymph nodes. Bruno et al.(19) and Kooby et al.(20) reported that the depth of invasion was an important prognosis factor in gastric cancer patients with negative lymph nodes. Further Maehara et al.(21) and Kim et al.(22) also reported the size of tumor as well as invasion of serosa layer were important prognosis factors regarding the survival rate. Particularly, the recent 19th edition of Sabistone describes both positive lymph node metastasis and invasion of serosa layer as unfavorable prognosis factors.(23)

When the recurrence pattern was analyzed after the curative gastrectomy, there was a higher risk of hematogenous metastasis among lymph node negative patients than lymph node positive patients. The lymph node positive patients have the highest risk of peritoneal seeding. Particularly, hematogenous metastasis was significantly higher in early gastric cancer patients with negative lymph nodes (64.8%).

In patients with curative gastrectomy, the presence of lymph node metastasis has clinico-pathologically different characteristics as well as differences in survival rate. Particularly, early gastric cancer and advanced gastric cancer patients also showed different results in long-term survival rate according to the presence of lymph node metastasis. In early gastric cancer patients with negative lymph nodes, age, differentiation, venous invasion, and serum CEA level were important prognostic factors, while age and invasion of serosa layer were important in advanced gastric patients with negative lymph nodes. Further, given the apparent hematogenous metastasis in early gastric cancer patients with negative lymph nodes metastasis, more attention is required.

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